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Clinical paper

Contribution of chest compressions to end-tidal carbon dioxide levels generated during out-of-hospital cardiopulmonary resuscitation



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Abstract

Aim: Characterise how changes in chest compression depth and rate affect variations in end-tidal CO₂ (ETCO₂) during manual cardiopulmonary resuscitation (CPR) in out-of-hospital cardiac arrest (OHCA).

Methods: Retrospective analysis of adult OHCA monitor-defibrillator recordings having concurrent capnogram, compression depth, transthoracic impedance and ECG, and with at least 1,000 compressions. Within each patient, during no spontaneous circulation, nearby segments with changes in chest compression depth and rate were identified. Average ETCO₂ within each segment was standardised to compensate for ventilation rate variability. Contributions of relative variations in depth and rate to relative variations in standardised ETCO₂ were characterised using linear and non-linear models. Normalisation between paired segments removed intra and inter-patient variation and made coefficients of the model independent of the scale of measurement and therefore directly comparable.

Results: A total of 394 pairs of segments from 221 patients were analysed (33% female, median (IQR) age 66 (55–74) years). Chest compression depth and rate were 50.4 (43.2–57.0) mm and 111.1 (106.5–116.1) compressions per minute. ETCO₂ before and after standardization was 32.1 (23.0–41.4) mmHg and 28.5 (19.4–38.7) mmHg. Linear model coefficient of determination was 0.89. Variation in compression depth mainly explained ETCO₂ variation (coefficient 0.95, 95% confidence interval (CI): 0.93–0.98) while changes in compression rate did not (coefficient 0.04, 95% CI: 0.01–0.07). Non-linear trend analysis confirmed the results.

Conclusion: This study quantified the relative importance of chest compression characteristics in terms of their impact on CO₂ production during CPR. With ventilation rate standardised, variation in chest compression depth explained variations in ETCO₂ better than variation in chest compression rate.

Keywords: Cardiopulmonary resuscitation (CPR), Chest compression quality, Chest compression depth, Chest compression rate, Ventilation rate, End-tidal CO₂, Out-of-hospital cardiac arrest (OHCA), Advanced life support (ALS)

Introduction

Waveform capnography analysis can help in assessing a patient's condition during cardiopulmonary resuscitation (CPR). It reflects the evolution of the partial pressure of carbon dioxide in the exhaled air, whose value at the end of expiration is called end-tidal CO₂

(ETCO₂). ETCO₂ reflects cardiac output, organ perfusion and pulmonary blood flow potentially providing a non-invasive measurement of the patient's response to resuscitation efforts.^{1–3} Current resuscitation guidelines promote waveform capnography to help to confirm correct advanced airway placement, to detect restoration of spontaneous circulation (ROSC) early, to assist with termination of resuscitation and for real-time monitoring of CPR quality.^{4,5} During CPR,

Abbreviations: CPR, Cardiopulmonary resuscitation, ETCO₂, End-tidal carbon dioxide, OHCA, Out-of-hospital cardiac arrest, ROSC, Return of spontaneous circulation, ALS, Advanced life support

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ventilations and compressions influence CO₂ extraction and generation, respectively; therefore, ventilation rate, and chest compression depth and rate, among others, affect ET_{CO₂}.^{6–11} The optimal values to objectively guide CPR based on ET_{CO₂} remain unknown. Quantifying interactions among CPR components and ET_{CO₂} levels and trends may help to better understand CPR quality in terms of patients' responses,^{12,13} avoid hyperventilation¹⁴ and optimize chest compressions during resuscitation.^{15–17}

Linear regression models for describing the relationship between ET_{CO₂} and ventilation rate, chest compression depth and chest compression rate have been proposed, under the assumption of additive effects and similar dependency between ET_{CO₂} and the variables under study.^{18–20} Correlations have been reported in terms of absolute ET_{CO₂} increments (i.e. in mmHg),^{18,19} or in terms of ET_{CO₂} relative changes.²⁰ Conversely, animal studies have shown a non-linear relationship between ventilation rate and ET_{CO₂}.^{21,22} Two retrospective observational studies conducted by our research group with out-of-hospital cardiac arrest (OHCA) data supported those findings through a mathematical model explaining in isolation the effect of ventilation rate on ET_{CO₂}.^{23,24} Furthermore, we suggested that variability of ventilation rate obscures measurement of the underlying patient response thereby precluding reliable interpretation of ET_{CO₂} during resuscitation. We hypothesized that standardizing the measured values to a common reference ventilation rate would enhance clinical usefulness of ET_{CO₂} during resuscitation.²⁵

Continuing that line of study, our next aim has been to determine how much of the measured ET_{CO₂} is related to the characteristics of chest compressions. We sought to establish a quantitative relationship between ET_{CO₂} and compression depth and rate, through retrospective analysis of adult OHCA episodes. To eliminate ventilation rate as a confounder, measured ET_{CO₂} values were standardised according to a model we defined in an earlier study.²⁴ Other confounders, such as the specific metabolism of each patient, were controlled for by carefully defining the inclusion criteria and by studying how relative changes of the explanatory variables affected ET_{CO₂} changes.

Materials and methods

Data collection

The data set derives from adult OHCA episodes attended by Tualatin Valley Fire & Rescue (TVF&R), an ALS fire first response Emergency Medical Services (EMS) agency (Tigard, Oregon, USA), from 2006 through 2017. The database is a part of the Portland Resuscitation Outcomes Consortium Epidemiological Cardiac Arrest Registry, approved by the Institutional Review Board (IRB00001736) of the Oregon Health & Science University (OHSU). Patient personal information is not included in the records. Heartstart MRx monitor-defibrillators (Philips Healthcare, USA), used to record the episodes, were equipped with capnography monitors, using sidestream sampling (Microstream™, Oridion Systems Ltd., Israel) and with chest compression monitors (Q-CPR™ technology).²⁶ The database included only episodes with chest compressions and concurrent ventilations provided manually. Episodes with concurrent capnogram, compression depth, electrocardiography (ECG), and transthoracic impedance signals were extracted. Only episodes corresponding to patients receiving at least 1,000 chest compressions were included in the study.

Segment pair selection

The purpose of the study was to quantify the influence of relative variations of compression depth and rate on relative variations of ET_{CO₂}. Thus, the model we were looking for would explain how changes in the independent variables affect changes in the dependent variable. For that purpose, we sought to locate pairs of nearby segments within patients, characterise each segment in terms of ventilation rate, compression depth and rate, and ET_{CO₂}, and normalise these measures so that we could characterise changes. Normalisation within patients, comparing each second segment to the corresponding first segment, was necessary for several reasons: first, to remove variation among patients; second, to isolate the results from the influence of the evolution of patient's metabolism, and third, to make coefficients of our model independent of the scale of measurement and therefore directly comparable.

Three biomedical experts (JJG, ML, CLS) used a custom-made Matlab (Mathworks, USA) graphical tool for displaying signals and for annotation. The graphical tool included automatic pre-annotation of compression pauses, compression and ventilation instances, and ET_{CO₂}. We anticipated that the segment pairs would generally appear separated by compression pauses, where the dynamics of chest compression delivery usually changes. A maximum of 1-min separation between segments in a pair was permitted to minimise changes in metabolic activity. Absence of spontaneous circulation was confirmed by ECG inspection and by the ROSC annotations of ALS providers. In case of doubt between a perfusing rhythm or pulseless electrical activity, we used the transthoracic impedance signal for discrimination.²⁷ Within each segment, we required a reliable capnogram showing stability (low variability) in the duration of ventilations and ET_{CO₂} per ventilation, and a reliable compression depth signal with low variability in compression depth and rate. Thus, annotations were representative of each segment. The three reviewers jointly annotated 100 cases, agreeing upon criteria. Fig. 1 illustrates the annotation of a pair of segments. The remaining cases were each annotated by an individual reviewer. Finally, all uncertainties were reviewed jointly, and discrepancies resolved by consensus.

Annotated ET_{CO₂} values were made independent of ventilation rate. For that purpose, we referred measured ET_{CO₂} values to a common reference ventilation rate using the standardization equation:²⁴

$$ETS_i = ET_i \cdot \frac{1 - k^{vr_s}}{1 - k^{vr_i}}, \quad (1)$$

where:

- ETS_i : ET_i value standardised to vr_s (mmHg).
- ET_i : ET_{CO₂} value associated to the i -segment (mmHg).
- vr_i : ventilation rate of the i -segment (in ventilations per minute, vpm).
- vr_s : reference ventilation rate for standardisation (vpm).
- k : CO₂ concentration decay coefficient.

We used a decay coefficient $k = 0.91$ and a reference ventilation rate $vr_s = 10$ vpm in Eq. 1, according to our previous study.²⁴ The value for the decay coefficient yielded the best model fit with low dispersion among patients. The reference ventilation rate is the target currently recommended by the guidelines. As illustrated in Fig. 1, each pair of segments was characterised by the relative change in ET_{CO₂} (measured and standardised), chest compression depth

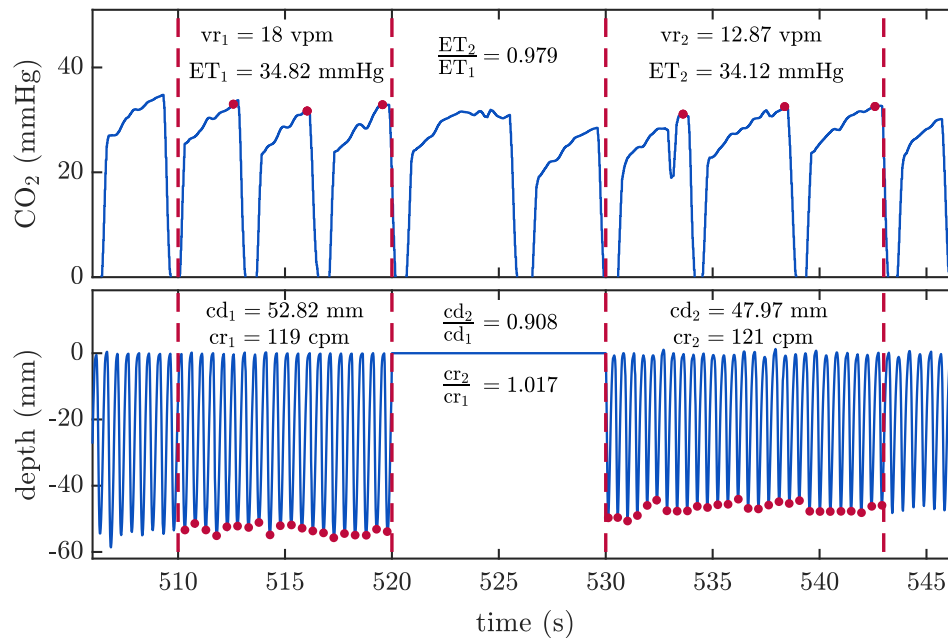


Fig. 1 – Example of paired segments. Annotated values within each segment and corresponding ratios are shown: $ETCO_2$ (ET_1 , ET_2), average chest compression depth (cd_1 , cd_2), chest compression rate (cr_1 , cr_2) and ventilation rate (vr_1 , vr_2).

and chest compression rate from the first to the second segment, expressed as ratios.

Statistical analysis

The contributions of changes in depth and rate to changes in standardised $ETCO_2$ were characterised according to the model:

$$\frac{ETS_2}{ETS_1} = a \cdot \frac{cd_2}{cd_1} + b \cdot \frac{cr_2}{cr_1} \quad (2)$$

Model variables are scale-free ratios making magnitude comparison of model coefficients meaningful. The model was fitted using the bi-square method for robustness, with a confidence level of 95%. This method minimises a weighted sum of the squared residuals, finding a curve that fits the bulk of the data using the least-squares approach and, simultaneously, minimising the effect of outliers.²⁸ Coefficients of determination were used to evaluate the goodness of fit and linear associations among metrics. Trends with compression depth and rate ratios were assessed with Jonckheere-Terpstra tests²⁹ conditioned on statistically significant differences from Kruskal–Wallis ANOVA.³⁰ We considered p (from ANOVA) and p_{trend} (from tests for trend) values below 0.05 to be statistically significant. Values were reported as median (interquartile range, IQR).

Results

The database contained 1,036 patient episodes. Of these, 502 had concurrent required signals and at least 1,000 chest compressions. Total analysed capnogram duration was 12,898 min. Pairs of segments meeting the inclusion criteria were identified in 221 patients, whose characteristics are reported in Table 1. For this cohort of patients, the median age was 66 (55–74) years and 33% were female. Patients were intubated with endotracheal tube (47%) or

had their airway managed with a supraglottic King LT-D device (45%). Airway type was unknown for 8% of the patients. Initial EMS rhythm was asystole in 46% of the episodes, shockable in 30%, and pulseless electrical activity in 24%. ROSC was achieved in the field in 34% of the patients. Death occurred in the field in 32% of the episodes, in the Emergency Department (ED) in 45% and after hospital admission in 19%; 4% of the patients survived to hospital discharge. Note that this low survival rate reflects outcomes only in these extended cases (with at least 1,000 chest compressions).

Table 1 – Patient characteristics, disposition and advanced airway type for the annotated episodes (n = 221). ROSC refers to any ROSC event.

Characteristic	Observed value
Age (y), median (IQR)	66 (55–74)
Sex, n (%)	
Female	74 (33)
Male	147 (67)
Advanced airway type, n (%)	
Endotracheal tube (ETT)	103 (47)
Supraglottic King LT-D (SGA)	100 (45)
Unknown	18 (8)
Initial rhythm, n (%)	
Shockable (VF/VT)	66 (30)
Pulseless electrical activity	54 (24)
Asystole	101 (46)
Return of Spontaneous Circulation (ROSC), n (%)	76 (34)
Disposition, n (%)	
Died in field	71 (32)
Died in emergency department	100 (45)
Died after hospital admission	41 (19)
Discharged alive	9 (4)

A total of 394 pairs of segments were included in the study. Median separation between segments within a pair was 42.3 (37.6–46.4) s. Mean coefficient of variability of the per compression and per ventilation measures within each segment were 0.054, 0.034, 0.028, and 0.141 for compression depth, compression rate, ETCO₂, and ventilation rate, respectively. These results confirm the required stability of

the measures within each segment. Table 2 shows the distributions of annotated values and ratios between segments in each pair. Median measured ETCO₂, ET, was 32.1 (23.0–41.4) mmHg. ETCO₂ after standardization to 10 vpm, ETs, was 28.5 (19.4–38.7) mmHg. Ventilation rate was 8.3 (7.0–11.0) vpm. Compression rate was 111.1 (106.5–116.1) cpm. Compression depth was 50.4 (43.2–57.0) mm.

Table 2 – Distributions of annotated values for all segments and for ratios between paired segments. Notice the effect of standardization of measured ETCO₂ values to a common reference ventilation rate of 10 vpm. ET: measured ETCO₂; ETs: ETCO₂ standardised to 10 vpm; cd: chest compression depth; cr: chest compression rate; vr: ventilation rate.

	Measured value, median (IQR)	Ratio, median (IQR)
ET (mmHg)	32.1 (23.0–41.4)	1.01 (0.90–1.14)
ETs (mmHg)	28.5 (19.4–38.7)	0.99 (0.87–1.13)
cd (mm)	50.4 (43.2–57.0)	1.01 (0.89–1.16)
cr (cpm)	111.1 (106.5–116.1)	1.01 (0.95–1.06)
vr (vpm)	8.3 (7.0–11.0)	–

After fitting of the linear model, the coefficient *a* for explaining the effect of varying compression depth was 0.95 (95% CI: 0.93–0.98). The coefficient *b* for explaining the effect of varying compression rate was 0.04 (95% CI: 0.01–0.07). Coefficient of determination of the combined model was R² = 0.89. We conducted several sensitivity analyses for testing the consistency of our model. Results were essentially the same for ventilation rates in the range 5–15 vpm (321 pairs); whether only one or both compression metrics within the paired segments were adherent with guidelines (depth: 5–6 cm; rate: 100–120 cpm, 142 pairs); and for segments from ROSC patients (128 pairs).

Fig. 2 shows the relations between ratios of standardised ETCO₂ and ratios of chest compression depth (top) and rate (bottom). The coefficient of determination for linear correlation for standardised ETCO₂ and compression depth was R² = 0.89 (panel a). This coefficient lowered to R² = 0.49 without ETCO₂ standardization for ven-

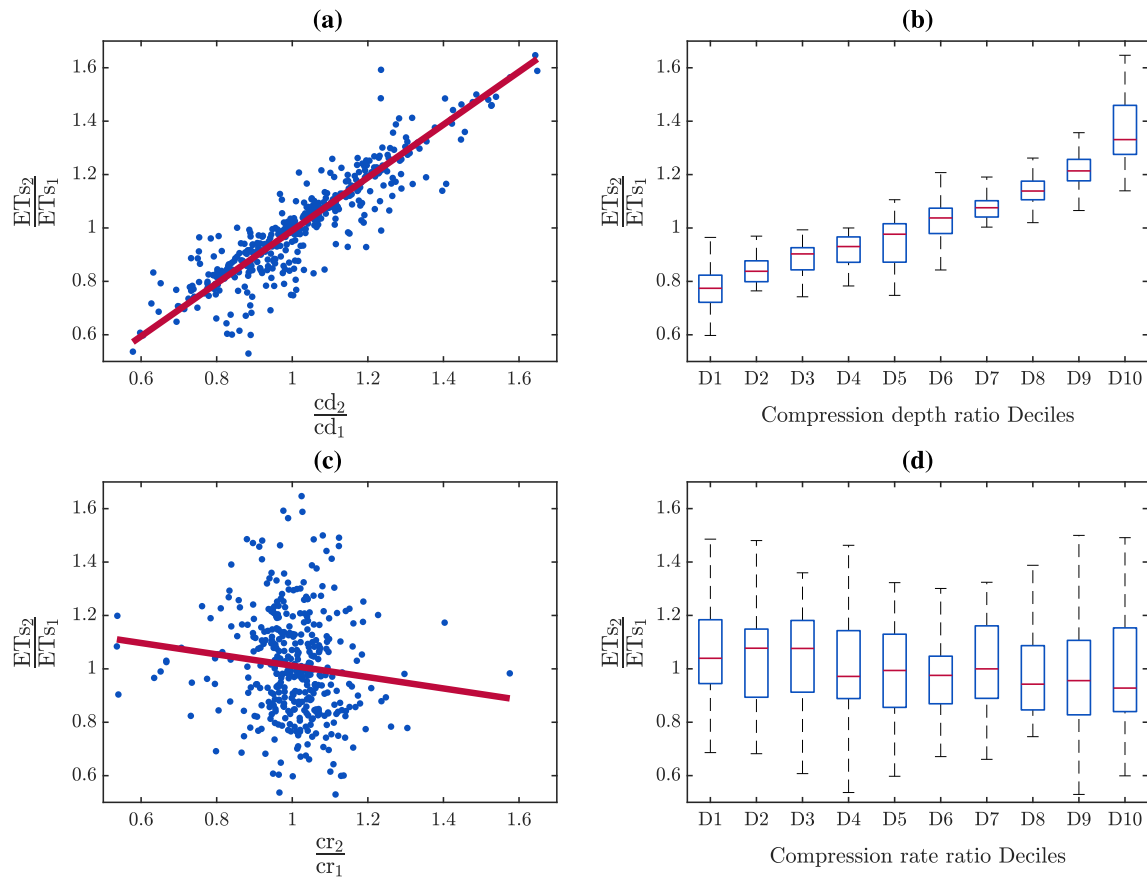


Fig. 2 – Relations of standardised ETCO₂ ratios with compression depth ratios (a, b), and with compression rate ratios (c,d). Left panels show scatter plots and linear regressions; right panels show boxplots versus deciles. Coefficients of determinations and trend significance for compression depth: (a) R² = 0.89, (b) p_{trend} < 0.001; for compression rate: (c) R² = 0.02 (d) p_{trend} = 0.32. Jonckheere-Terpstra trend analysis assesses whether these differences are in order with the independent variable, here compression depth and rate deciles.

tilation rate. Panel b shows distributions by deciles, avoiding assumption of linearity. Dependence relations were highly significant (ANOVA: $p < 0.001$). The trend of ETCO_2 with depth was significant ($p_{\text{trend}} < 0.001$). For chest compression rate, the coefficient of determination was $R^2 = 0.02$ (panel c). No trend of ETCO_2 with compression rate was observed ($p_{\text{trend}} = 0.33$ for standardised ETCO_2 (panel d) and $p_{\text{trend}} = 0.32$ for measured ETCO_2), showing no sensitivity to the distribution of the independent variable. Variation in compression depth was the factor of greatest significance and best explained the variations in standardised ETCO_2 . Influence of compression rate changes was not significant.

Fig. 3 illustrates the potential clinical usefulness of our findings. Fig. 3(a) and (b) correspond to a ROSC and a non-ROSC patient, respectively. Top panels depict measured (blue) and standardised (red) ETCO_2 , and the contribution of compression to ETCO_2 modelled with Eq. 2 (green). Bottom panels show the ventilation rate (blue) and the compression depth (red). Note the differences between measured and standardised ETCO_2 prior to ROSC: from minute 9 onwards, the rise in the standardised value was 6.1 mmHg per minute, whereas the measured value increased 3.2 mmHg per minute. This was coincident with ventilation rate increasing substantially from 5.3 to 9.7 vpm. Note also that the standardised values remained very close to the contribution of compressions until minute 9, separating from it as ROSC was approached. However, in the non-ROSC patient, both remained close together along the intervention. In this case, there were also differences between measured and standardised ETCO_2 caused by varying ventilation rate.

Discussion

The widespread use of waveform capnography as a promising indicator of patient haemodynamic response during resuscitation requires critical analysis to ensure its correct interpretation. The influence of individual CPR components must be understood and quantified, preferably separately, since they contribute very differently to CO_2 concentration. Ventilations allow extraction of CO_2 while chest compressions contribute to CO_2 generation by facilitating forward blood flow. Accordingly, we demonstrated an exponential decrease of ETCO_2 with increasing ventilation rate in line with previous observations in animal experiments, and proposed a model to compensate ETCO_2 changes related to ventilation rate variability.²⁴ In the present study, we took a step forward in this multifactorial approach and quantified the influence of compression depth and rate on ETCO_2 . For that purpose, ETCO_2 was firstly made independent of ventilation rate using our standardization model.²⁴ Then, normalisation of measurements between segments of each pair eliminated the problem of variation among patients. Our model based on ratios also made it possible to make variables independent of the scale of measurement, therefore magnitude of their coefficients provides more robust information.

Our study supports quantifying the contributions of chest compression rate and depth to support of critical blood flow by assessing their relative impacts on ETCO_2 . Over the years, efforts to find optimal values for the compression components have resulted in weak recommendations and low quality evidence.³¹ One possible reason for this is the large number of influencing variables which, if not adequately quantifiable, may have confounded the results. Determining how depth and rate of chest compressions influence ETCO_2 could

help to provide more evidence to reconsider treatment recommendations. Our work proposes a new framework for quantifying CPR quality based on ETCO_2 .

Our results showed that compression depth is a good linear predictor of ETCO_2 variations, while compression rate explains little of this variation. Fig. 2.a and Fig. 2.b show that standardised ETCO_2 ratio increases monotonically with depth ratio, while there is no such pattern with rate ratio. The limited influence of compression rate was in line with the study by Sheak et al.¹⁹ Other authors reported an ambiguous association between compression rate and ETCO_2 .²⁰ In the study by Murphy et al. compression rate was 101 (75.9, 179) cpm. While median rate was within recommendations, variability was large. Conversely, in the study by Sheak et al. compression rate was 110.3 (102.5, 117.7) cpm, consistent with our results, with much less dispersion. In our narrow range of variation we found no influence of compression rate on ETCO_2 . Lack of adherence to guidelines recommendations, resulting in a high compression rate variability, may explain this divergence.

Trends of ventilation rate and ETCO_2 are opposite. Increasing ventilation rate reduces the time for alveolar gas exchange and lowers CO_2 concentration, corresponding to an exponential decay model.²³ This complicates the correct interpretation of a multivariable model in which ventilation rate is one of the explanatory factors.^{19,20} By compensating for ventilation rate variability through standardization to 10 vpm, the anticipated influence of compression depth on ETCO_2 was highlighted, showing tight relationship ($R^2 = 0.89$ for standardised values in contrast to $R^2 = 0.49$ without standardization). According to our model there is an association between compression depth and ETCO_2 trends of 0.95. Our model remained consistent after removing too high and too low ventilation rates because of their deleterious haemodynamic effects.^{32,33} The model was also consistent for segments adherent with guidelines and for ROSC patients. The previous studies by Sheak et al. and Murphy et al. reported an increase in ETCO_2 of 1.4 mmHg and 4% for every 10 mm increase in compression depth, respectively. Both models included ventilation rate as independent variable without considering its non-linear relationship with ETCO_2 or its influence as a confounding factor. This explains the notable differences with respect to our results. As an example, an increase in compression depth from 40 to 60 mm would increase ETCO_2 by 2.8 mmHg (Sheak), by 8% (Murphy) or by 42.5% according to our model.

This study illustrates that, within practical values, controlling chest compression depth could have more importance than controlling chest compression rate, the latter being much easier to assess and control even without a real-time CPR monitor, simply by relying on a metronome.^{34–36} Our study emphasizes the value of monitoring chest compression depth, and thus the value of CPR monitors.³⁷

The results of our study can have other direct clinical applications since we now know how to remove the influence of ventilation rate on ETCO_2 and to quantify how much it is affected by chest compressions. From Fig. 3 we concluded: first, strong differences between measured and standardised ETCO_2 reflect poor control of ventilation rate. Second, current evidence suggests that an increase in ETCO_2 could be predictive of ROSC.³⁸ This was much better reflected in the standardised values than in the measured values. Our hypothesis is therefore that standardization would strongly highlight the occurrence of ROSC. In addition, standardisation could flatten apparent increasing trends in measured ETCO_2 that could yield false suspicions of ROSC.

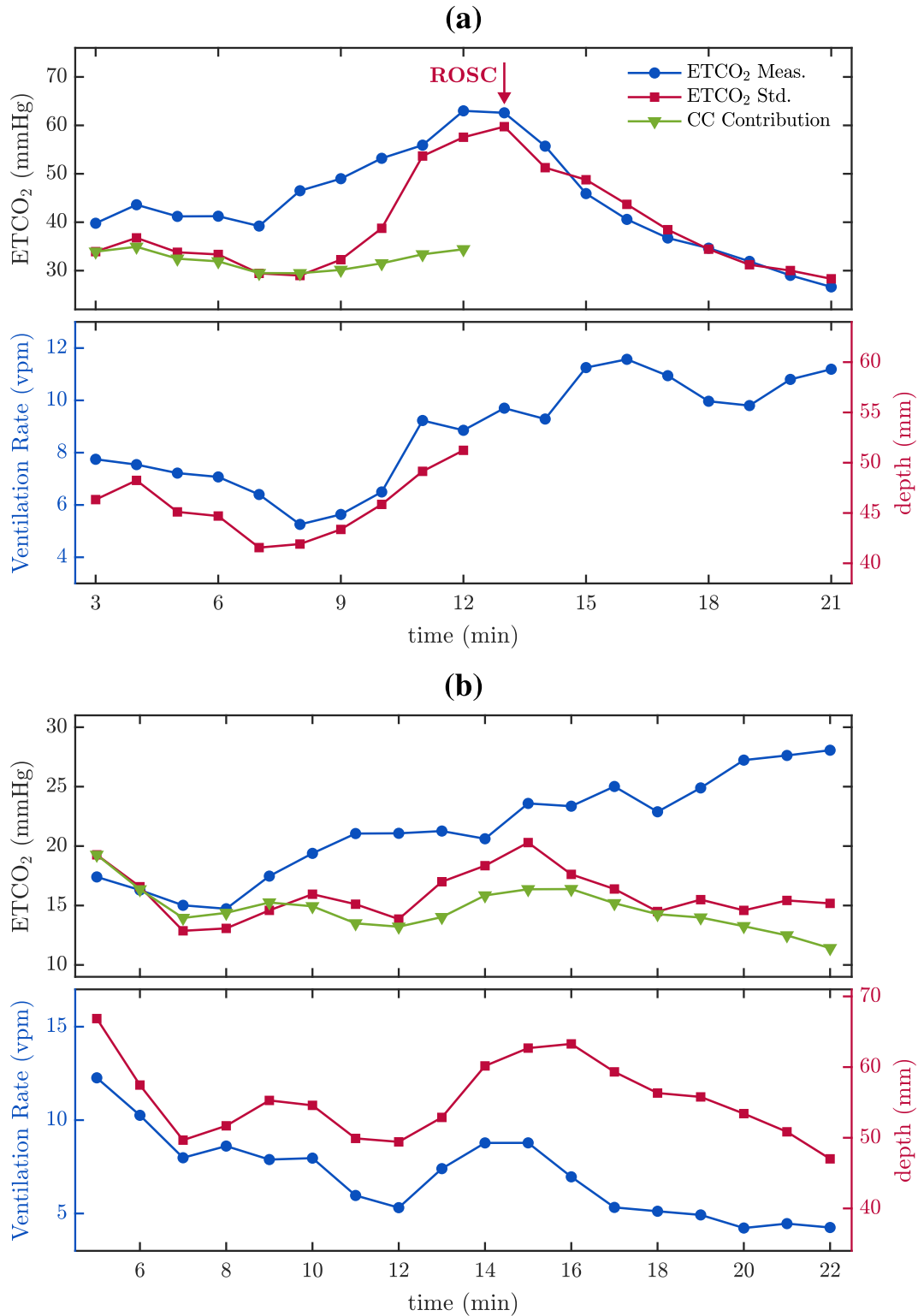


Fig. 3 – Comparison of measured and standardised ETCO₂ evolution in a ROSC patient (a) and a non-ROSC patient (b) from our dataset. Values were averaged for every minute. Top panels: measured ETCO₂ (blue line); ETCO₂ standardised to 10vpm (red); ETCO₂ generated by chest compressions estimated by our model (green). Bottom panels allow assessing the influence of ventilation rate and compression depth in the observed ETCO₂ differences. Notice the pre-ROSC increasing difference in standardised ETCO₂ with respect to compression contribution. This is not observed in the non-ROSC patient. CC: chest compressions.

When standardised ETCO₂ begins to differentiate from the expected contribution from compressions, this suggests there is other source of ETCO₂. In Fig. 3 (a), this highlights the improved circulation attending ROSC. Conversely, when the difference between both levels remains low, this could be a confirmation of absence of ROSC (Fig. 3 (b)). In summary, we believe that the estimation of the contribution of chest compressions to ETCO₂ is a promising additional metric to advance ROSC detection. Our ongoing research is focused on testing the validity of this hypothesis.

Limitations

We analysed recordings from a single ALS EMS agency database. Chest compression depth and especially compression rate generally adhered with recommendations, since real-time CPR feedback was available. Q-CPR technology also provided feedback on complete chest recoil but we did not include this factor in our study. In previous studies with episodes from the same ALS agency, leaning was rare and recoil was well-sustained despite the long resuscitation efforts.^{39,30} Consequently, results derived from our model may not generalise to other scenarios with more variability in CPR performance and absence of real-time feedback. We had no information about tidal volume and the timing of drug administration, both of which could be important confounding factors for the interpretation of ETCO₂ evolution. Finally, we imposed strict criteria for the inclusion of episodes and segments to control the variables under study. This was inherently necessary for our methodology but may have an impact on the generalisability of the results.

Conclusions

This study quantified the influence of chest compressions on ETCO₂ levels observed during manual CPR. We applied a novel methodology for standardising ETCO₂ to compensate for the influence of variation in ventilation rate on measurements. Variation in chest compression depth was the factor of greatest impact and best explained the variations in standardised ETCO₂. Influence of chest compression rate changes was not significant. Our model also allows for estimating the contribution of chest compressions to ETCO₂ in isolation. Our findings could help to better understand ETCO₂ as an indicator of CPR quality.

CRedit authorship contribution statement

Jose Julio Gutiérrez: Conceptualization, Data curation, Methodology, Formal analysis, Investigation, Software, Validation, Writing – review & editing. **Camilo Leonardo Sandoval:** Data curation, Methodology, Software, Validation, Writing – review & editing. **Mikel Leturiondo:** Data curation, Software, Validation, Visualization, Writing – review & editing. **James Knox Russell:** Resources, Data curation, Formal analysis, Methodology, Validation, Writing – review & editing. **Koldo Redondo:** Formal analysis, Validation. **Mohamud Ramzan Daya:** Resources, Writing – review & editing, Supervision. **Sofía Ruiz de Gauna:** Conceptualization, Funding acquisition, Methodology, Project administration, Resources, Supervision, Validation, Writing – original draft, Writing – review & editing.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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